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4  
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25 **Comparison of Adaptive Neuroprotective Mechanisms of Sulforaphane and its**  
26 **Interconversion Product Erucin in *in Vitro* and *in Vivo* Models of Parkinson's Disease**

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41

## 42   **Abstract**

43   Several studies suggest that an increase of glutathione (GSH) through activation of the  
44   transcriptional nuclear factor (erythroid-derived 2)-like 2 (Nrf2) in the dopaminergic neurons may  
45   be a promising neuroprotective strategy in Parkinson's disease (PD). Among Nrf2 activators,  
46   isothiocyanate sulforaphane (SFN), derived from precursor glucosinolate present in Brassica  
47   vegetables, has gained attention as a potential neuroprotective compound. Bioavailability studies  
48   also suggest the contribution of SFN metabolites, including erucin (ERN), to the neuroprotective  
49   effects of SFN. Therefore, we compared the *in vitro* neuroprotective effects of SFN and ERN at the  
50   same dose level (5  $\mu$ M) and oxidative treatment with 6-hydroxydopamine (6-OHDA) in SH-SY5Y  
51   cells. The pre-treatment of SH-SY5Y cells with SFN recorded a higher ( $p<0.05$ ) active nuclear Nrf2  
52   protein ( $12.0\pm0.4$  vs.  $8.0\pm0.2$  fold increase), mRNA Nrf2 ( $2.0\pm0.3$  vs.  $1.4\pm0.1$  fold increase), total  
53   GSH ( $384.0\pm9.0$  vs.  $256.0\pm8.0$   $\mu$ M) levels and resistance to neuronal apoptosis elicited by 6-OHDA  
54   compared to ERN. By contrast, the simultaneous treatment of SH-SY5Y cells with either SFN or  
55   ERN and 6-OHDA recorded similar neuroprotective effects with both the isothiocyanates (Nrf2  
56   protein  $2.2\pm0.2$  vs.  $2.1\pm0.1$  and mRNA Nrf2  $2.1\pm0.3$  vs.  $1.9\pm0.2$  fold increase; total GSH  $384.0\pm4.8$   
57   vs.  $352.0\pm6.4$   $\mu$ M). Finally, *in vitro* finding was confirmed in a 6-OHDA-PD mouse model. The  
58   metabolic oxidation of ERN to SFN could account for their similar neuroprotective effects *in vivo*,  
59   raising the possibility of using vegetables containing a precursor of ERN for systemic antioxidant  
60   benefits in a similar manner to SFN.

61

62   **Keywords:** sulforaphane, erucin, 6-hydroxydopamine, Nrf2, Parkinson's disease.

63

## 64    **Introduction**

65    A considerable amount of evidence supports a role for oxidative stress, mitochondrial dysfunction  
66    and abnormal protein accumulation as early triggers of neuronal death in Parkinson's disease (PD)  
67    pathogenesis<sup>1,2</sup>. Glutathione (GSH) depletion is one of the earliest altered redox statuses in  
68    substantia nigra (SN) during the progression of PD, probably because of the presence of dopamine  
69    (DA) oxidative metabolism, iron and a weak antioxidant and detoxifying defense system<sup>3,4</sup>.  
70    Based on this evidence, it has been suggested that food-based approaches may be a promising  
71    strategy to prevent or slow the ongoing oxidative stress in PD<sup>5-7</sup>. Recent studies demonstrate the  
72    ability of dietary phytochemicals widely found in fruits and vegetables to reduce the neuronal death  
73    occurring in neurodegenerative diseases through several adaptive mechanisms. These mechanisms  
74    belong to the phenomenon called hormesis, including the activation of the nuclear factor (erythroid-  
75    derived 2)-like 2 (Nrf2), a master regulator of the antioxidant network and cytoprotective genes<sup>8-10</sup>.  
76    In this regard, emerging findings also suggest that hormesis involves the activation of multiple  
77    neuroprotective pathways that contribute to restore both mitochondrial dysfunction and abnormal  
78    protein accumulation in PD<sup>11,12</sup>. Among hormetic phytochemicals, the isothiocyanates (ITCs),  
79    derived from precursor glucosinolates, released from eating Brassica vegetables, have gained  
80    attention as potential neuroprotective compounds with the ability to increase total GSH levels and  
81    related enzymes through the activation of Nrf2<sup>13</sup>. In particular, the electrophilic interaction of ITCs  
82    with the cysteine residues of the cytoplasmatic Kelch like-ECH-associated protein 1 (Keap1)-Nrf2  
83    complex is a crucial event to promote the binding of Nrf2 with the antioxidant responsive element  
84    (ARE) at nuclear level<sup>8</sup>. Recent studies show multiple neuroprotective mechanisms of ITC  
85    sulforaphane (4-methyl-sulfinylbutyl ITC, SFN) in several *in vitro* and *in vivo* models of acute and  
86    chronic neurodegenerative disease<sup>14,15</sup>. The evidence of neuroprotective effects of SFN at central  
87    nervous system level must take into account the contribution of SFN tissue distribution and  
88    metabolites. SFN is released from precursor glucoraphanin, particularly abundant in watercress,  
89    broccoli and broccoli sprouts, and after the uptake in the organism, is conjugated with GSH and

90 metabolized via the mercapturic-acid pathway to its corresponding mercapturic-acid derivate SFN-  
91 cysteinylglycine, SFN-cysteine and SFN-*N*-acetylcysteine<sup>16</sup>. One interesting aspect is the reduction  
92 of the sulfoxide SFN to its thioether analogue erucin (4-methyl-thiobutyl ITC, ERN), which is also  
93 metabolized via the mercapturic-acid pathway and excreted in urine or bile<sup>16</sup>. ERN is also derived  
94 from glucoerucin, a major glucosinolate in *Eruca sativa*, and shows a similar biotransformation  
95 pathway and excretion fate to SFN (Figure 1). In particular, a biotransformation from ERN to SFN  
96 metabolites has been demonstrated<sup>16</sup>. In recent studies, ERN has shown a potential profile of  
97 antioxidant and neuroprotective effects similar to those observed with SFN. In particular, ERN  
98 increases heme-oxygenase (HO-1) expression and Nrf2 signaling in cultured human colon  
99 carcinoma HT29 cells and in mice, supporting our results that showed how a prolonged pre-  
100 treatment of human dopaminergic neuroblastoma SH-SY5Y cells with ERN activated an  
101 antioxidant adaptive response against oxidative damage induced by 6-hydroxydopamine (6-  
102 OHDA)<sup>17,18</sup>. Other studies suggest that biological effects elicited by ERN can be considered  
103 separately from those induced by SFN<sup>16</sup>. The determination of SFN and ERN in parallel is therefore  
104 a prerequisite for an adequate interpretation of their biological effects<sup>16</sup>.

105 To compare the neuroprotective effects of ERN and SFN we determined their neuroprotective  
106 effects, in an *in vitro* and *in vivo* 6-OHDA-PD model, at the same dose level and treatment before or  
107 during the oxidant treatment with 6-OHDA. In particular, to mimic the neuronal transient oxidant  
108 events due to the DA in PD, we used an *in vitro* PD model characterized by a short oxidant  
109 treatment of SH-SY5Y cells with 6-OHDA and subsequent removal of the oxidant treatment to  
110 trigger the impairment of the neuronal redox status and neuronal death. This *in vitro* experimental  
111 approach allowed us to evaluate: i) the time course of the SFN and ERN adaptive hormetic response  
112 in the absence of oxidant treatment as well as the ability of the adaptive hormetic response recorded  
113 with SFN and ERN to prevent the subsequent oxidative damage elicited by 6-OHDA; ii) the  
114 neuroprotective adaptive response of SFN and ERU that occurs over time by successive short  
115 treatments with SFN or ERU and 6-OHDA.

116

## 117 **Materials and Methods**

### 118 *Chemicals*

119 ERN and SFN (both with purity  $\geq 98\%$ ) were purchased from LKT Laboratories (LKT  
120 Laboratories, St. Paul, MN, USA); 6-OHDA, 7'-dichlorodihydrofluorescein diacetate (H<sub>2</sub>DCF-DA),  
121 anti- $\beta$ -actin and nrf2 antibodies, apomorphine, monochlorobimane were purchased Sigma-Aldrich  
122 (Sigma-Aldrich, St. Louis, MO, USA). The anti-tyrosine hydroxylase (TH) antibody was purchased  
123 from Millipore (Millipore, Temecula, CA, USA). The Annexin-V-FLUOS Staining Kit, Cell Death  
124 Detection ELISA<sup>PLUS</sup> kit, aprotinin, leupeptin, and NP-40 were purchased (Roche Diagnostics,  
125 Mannheim, Germany). RNA-to-cDNA Kit, Taqman Universal Master Mix II, and Taqman probe  
126 for Nrf2 gene (ID: Hs00975961\_g1) were purchased from Applied Biosystem Inc. (Applied  
127 Biosystem Inc., Foster City, CA, USA). The Nuclear Extract Kit and the TransAM Nrf2 Kit were  
128 purchased from Active Motif (Active Motif, Carlsbad, CA, USA). The goat biotinylated anti-rabbit  
129 IgG antibody and the DAB detection kit were purchased from Vector laboratories (Vector  
130 Laboratories, Burlingame, CA, USA). All reagents were of the highest grade of purity  
131 commercially available.

### 132 *Cell Culture and Treatments*

133 An SH-SY5Y cell line was routinely grown at 37°C in a humidified incubator with 5% CO<sub>2</sub> in  
134 Dulbecco's modified Eagle medium supplemented with 10% fetal bovine serum, 2 mM glutamine,  
135 50 U/mL penicillin and 50  $\mu$ g/mL streptomycin. To evaluate the neuronal redox status, the SH-  
136 SY5Y cells were seeded in 96-well plates at  $2 \times 10^4$  cells/well. To determine neuronal apoptosis  
137 parameters such as membrane phosphatidylserine exposure and DNA fragmentation into  
138 oligosomes, the SH-SY5Y cells were seeded in culture dishes (size 100 mm) at  $1.5 \times 10^6$  cells/dish  
139 and in 96-well plates at  $2.5 \times 10^3$  cells/well, respectively. To evaluate active nuclear Nrf2 protein  
140 and total GSH levels, the SH-SY5Y cells were seeded in culture dishes (size 60 mm) at  $2 \times 10^6$

141 cells/dish and in 96-well plates at  $2 \times 10^4$  cells/well, respectively. All experiments were performed  
142 after 24 h of incubation at 37°C in 5% CO<sub>2</sub>.

143 To evaluate the ability of SFN and ERN to modulate the neuronal basal levels of active nuclear  
144 Nrf2 protein, total GSH and redox status without treatment with 6-OHDA, SH-SY5Y cells were  
145 treated with 5 µM of ITCs for 1, 3, 6, 12, and 24 h at 37°C in 5% CO<sub>2</sub>. To determine the  
146 neuroprotective effects of SFN and ERN, SH-SY5Y cells were treated with 5 µM of ITCs 24 h  
147 before or during the treatment with 100 µM of 6-OHDA for 2 h at 37°C in 5% CO<sub>2</sub>. Next, the  
148 treatment was replaced with a medium without 6-OHDA and ITCs, and after further different  
149 incubation times we evaluated the nuclear active Nrf2 protein level (2 h), total GSH level (16 h),  
150 membrane phosphatidylserine exposure and DNA fragmentation into oligosomes (16 h).

#### 151 *Quantitative Real-Time PCR on Nrf2 gene expression*

152 RNA was extracted from SH-SY5Y cells using the RNeasy mini kit (Qiagen, Hilden, Germany) and  
153 following the manufacturer's procedures. cDNA was synthesized using the High capacity RNA-to-  
154 cDNA Kit. qRT-PCR was carried out using Taqman Universal Master Mix II and the average  
155 mRNA fold change of Nrf2 gene was calculated by comparing the cycle threshold (CT) of the target  
156 gene to that of the housekeeping gene 18-S ribosomal RNA. The Taqman probes span an exon  
157 junction. All reactions had three technical replicates and each condition had three biological  
158 replicates. Relative quantification was calculated with the  $\Delta\Delta CT$  method ( $2^{-\Delta\Delta CT}$ ).

#### 159 *Nuclear Extraction and determination of active Nrf2 protein level*

160 Nuclear Extraction and determination of active Nrf2 protein level were performed using the Nuclear  
161 Extract and TransAM Nrf2 Kit, respectively, according to the manufacturer's guidelines. The  
162 TransAM Nrf2 Kit is a DNA-binding ELISA able to determine the active Nrf2 protein level in  
163 nuclear extract. The primary antibody of the kit is able to recognize an epitope on Nrf2 protein upon  
164 ARE binding. The active Nrf2 protein levels in the treated cells are expressed as fold increase with  
165 respect to corresponding untreated cells.

#### 166 *Determination of neuronal redox status*

167 The neuronal redox status in terms of intracellular reactive oxygen species (ROS) levels was  
168 evaluated in SH-SY5Y cells as previously described with minor changes<sup>19</sup>. At the end of the  
169 treatment of SH-SY5Y cells, the culture medium was removed and 100  $\mu$ L 2'-  
170 7'-dichlorodihydrofluorescein diacetate, H<sub>2</sub>DCF-DA, (10  $\mu$ g/mL) were added to each well. After 30  
171 min of incubation at room temperature, the H<sub>2</sub>DCF-DA solution was replaced with phosphate buffer  
172 saline and the intracellular ROS levels were measured (excitation at 485 nm and emission at 535  
173 nm) using a multilabel plate reader (VICTOR™ X3). The values are expressed as arbitrary  
174 fluorescence units (AUF).

#### 175 *Determination of membrane phosphatidylserine exposure*

176 Apoptosis in terms of membrane phosphatidylserine exposure was evaluated using the Annexin-V-  
177 FLUOS Staining Kit, according to the manufacturer's instructions. The values are expressed as a  
178 percentage of Annexin-V positive cells.

#### 179 *Animals and experimental design*

180 Male C57Bl/6 mice (9 weeks old, 25-30 g body weight; Harlan, Milan, Italy) were used for the  
181 experiments. All studies were performed in accordance with the Institutional Guidelines and  
182 complied with Italian regulations and associated guidelines of the European Communities Council  
183 Directive (PROT. n. 15-IX/9). The experimental protocol was based on the unilateral stereotaxic  
184 intrastratial injection of 6-OHDA (AP: + 0.5, ML: - 2.0, DV: - 2.5), as previously described<sup>20</sup>. All  
185 animals tolerated the surgical operations well and there was no mortality due to treatments. Animals  
186 were randomly divided into 4 groups (n=10-12 per group). Three groups received a 6-OHDA  
187 injection in the left striatum, while one group received the same volume of saline solution (sham  
188 group). One hour after brain lesion, we started intraperitoneal (i.p.) administration of either 30  
189  $\mu$ mol/kg SFN or ERN or vehicle (VH, saline). We injected the mice twice a week. Thus, the four  
190 groups were: Sham/VH; 6-OHDA/VH; 6-OHDA/SFN; 6-OHDA/ERN. Four weeks after the lesion,  
191 we assessed the extent of the lesion using the rotational behavior test. At the end of behavioral  
192 analysis, mice were sacrificed by cervical dislocation to perform neurochemical analysis.



193 The SN was rapidly removed from the brain and placed into dry-ice. SN samples were then  
194 homogenized in lysis buffer (50 mM Tris, pH 7.5, 0.4% NP-40, 10% glycerol, 150 mM NaCl, 10  
195  $\mu\text{g/ml}$  aprotinin, 20  $\mu\text{g/ml}$  leupeptin, 10 mM EDTA, 1 mM sodium orthovanadate, 100 mM sodium  
196 fluoride), and protein concentration was determined by the Bradford method.

#### 197 *Rotational behavior*

198 All tests were carried out between 9.30 a.m. and 3.30 p.m. Animals were transferred to the  
199 experimental room at least 1 h before the test in order to let them acclimatize to the test  
200 environment. All scores were assigned by the same observer who was unaware of the animal  
201 treatment. Apomorphine-induced rotations were determined 4 weeks after the surgical procedure,  
202 according to the method described earlier<sup>21</sup>. Briefly, mice received a subcutaneous injection of  
203 apomorphine (0.05 mg/kg saline), a dopamine D1/D2 receptor agonist. They were acclimatized in  
204 plexiglass cylinders for 5 min prior to testing. After apomorphine administration, full body  
205 ipsilateral and contralateral turns were recorded using an overhead videocamera over a period of 10  
206 min. Subsequently, each 360° rotation of the body axes was manually counted as a rotation. Values  
207 were expressed as the mean of contralateral turns collected during 10 min.

#### 208 *Western Blotting*

209 As described<sup>21</sup>, the protein lysates (30  $\mu\text{g}$  per sample) were separated by SDS-polyacrylamide gels,  
210 and were transferred onto nitrocellulose membranes, which were probed with primary anti-TH and  
211 secondary antibodies. ECL reagents (Pierce, Rockford, IL, USA) were utilized to detect targeted  
212 bands. The same membranes were stripped and reprobed with  $\beta$ -actin antibody. Data were analyzed  
213 by densitometry, using Quantity One software (Bio-Rad). Values are expressed as fold increase  
214 versus respective contralateral intact site.

#### 215 *Immunohistochemistry*

216 Fixed brains were sliced on a vibratome at 40  $\mu\text{m}$  thickness. Sections were deparaffinized and  
217 hydrated through xylene and rinsed in Tris-buffered saline (TBS). After deparaffinization,  
218 endogenous peroxidase was quenched with 3%  $\text{H}_2\text{O}_2$ . Non-specific adsorption was minimized by

219 incubating the section in 10% normal goat serum for 20 min. Sections were then incubated  
220 overnight, at 4°C, with a rabbit anti (TH) or anti-Nrf2 antibody, rinsed in TBS, and re-incubated for  
221 1 h, at room temperature, with a goat biotinylated anti-rabbit IgG antibody. Finally, sections were  
222 processed with the avidin–biotin technique and reaction products were developed using commercial  
223 kits (Vector Laboratories). To verify the binding specificity, some sections were also incubated  
224 with only the primary antibody (no secondary) or with only the secondary antibody (no primary). In  
225 these situations, no positive staining was found in the sections, indicating that the immunoreactions  
226 were positive in all experiments carried out. Image analysis was performed by a blinded  
227 investigator, using an Axio Imager M1 microscope (Carl Zeiss, Oberkochen, Germany) and a  
228 computerized image analysis system (AxioCam MRc5, Zeiss) equipped with dedicated software  
229 (AxioVision Rel 4.8, Zeiss). After defining the boundary of the SN at low magnification (2.5x  
230 objective), the number of TH and Nrf2-positive cells in the SN was counted on – at least – four  
231 adjacent sections at a higher magnification (10x objective). Positive cells were counted and  
232 compared to other experimental groups.

#### 233 *Determination of DNA fragmentation in vitro and in vivo*

234 The determination of DNA fragments into oligosomes was performed using the Cell Death  
235 Detection ELISA<sup>PLUS</sup> kit according to the manufacturer's guidelines. *In vitro* values are expressed  
236 as fold increase with respect to corresponding untreated cells. To determine the *in vivo* DNA  
237 fragmentation we used lysates corresponding to 80 µg of protein and the values are expressed as the  
238 mean of optical density fold increase with respect to the Sham/VH group.

#### 239 *Determination of total GSH content in vitro and in vivo*

240 Total GSH content in SH-SY5Y cells was determined using the monochlorobimane assay in 96-  
241 well plates as previously reported<sup>22</sup>. The values are expressed as concentrations of total GSH (µM)  
242 obtained by a GSH standard curve. Total GSH content in SN samples was estimated as described  
243 previously by us<sup>20</sup>. The values are expressed as mmol GSH/mg of total lysate proteins per assay.

#### 244 *Statistical analysis*

245 Data are reported as mean  $\pm$  SEM. Statistical analysis was performed using one-way ANOVA with  
246 Bonferroni post hoc test and Pearson's correlation coefficient for relations among variables.  
247 Differences were considered significant at  $p < 0.05$ . Analyses were performed using PRISM 5  
248 software (GraphPad Software, La Jolla, CA, USA).

## 249 **Results and Discussion**

### 250 *In vitro neuroprotective effects of SFN and ERN*

251 Initially, we evaluated the ability of SFN and ERN to activate early neuronal adaptive mechanisms  
252 modulating the active nuclear Nrf2 protein, mRNA Nrf2, total GSH levels, and redox status in  
253 terms of intracellular ROS levels without oxidative treatment with 6-OHDA in SH-SY5Y cells. As  
254 reported in Figure 2a, increasing the time of treatment of SH-SY5Y cells with either SFN or ERN 5  
255  $\mu$ M, a concentration not associated with neurotoxicity (data not shown), showed that the highest  
256 increase of active nuclear Nrf2 protein levels occurred at 1 h. At this time, SFN recorded a  
257 significantly higher fold increase than ERN (12 vs. 8). Less similar patterns of active nuclear Nrf2  
258 protein levels were also determined at 3 and 12 h of treatment. The active nuclear Nrf2 protein  
259 levels recorded at 1 h were also accompanied by a similar compensation response of gene  
260 expression increase of mRNA Nrf2 as shown in Figure 2b. In parallel, comparable treatment times  
261 of SH-SY5Y cells with both the ITCs showed a significant decrease and increase of total GSH and  
262 ROS levels, respectively, (Figure 3a and 3b). Remarkably, SFN recorded significantly greater  
263 effects than ERN on total GSH basal levels at 1 h (percentage decrease, 77 vs. 42) and 6 h  
264 (percentage decrease, 30 vs. 2) as well as on ROS basal levels at 1 h (percentage increase, 30 vs.  
265 12) and 3 h (percentage increase, 15 vs. 0). The highest magnitude of these effects recorded for both  
266 ITCs at 1 h decreased to basal levels when the treatment times of SH-SY5Y cells were extended to  
267 12 h (Figure 3a and 3b).

268 As shown in Figure 4a and 4b, the higher activation of early adaptive mechanisms, specifically the  
269 increase of active nuclear Nrf2 protein and the total GSH depletion, induced by SFN compared to  
270 ERN was also prodromic in significantly different late adaptive mechanisms, such as increase and

271 decrease in total neuronal GSH and ROS levels, respectively, at 24 h of treatment. At this treatment  
 272 time, we recorded a significant inverse correlation between ROS and GSH levels for both SFN ( $r =$   
 273  $-0.76$ ,  $p < 0.01$ ) and ERN ( $r = -0.93$ ,  $p < 0.001$ ) (Figure 4c). Subsequently, we evaluated the impact  
 274 of different total GSH levels recorded after treatment with either SFN or ERN on prevention of  
 275 neuronal apoptosis, in terms of membrane phosphatidylserine exposure (Annexin V binding) and  
 276 DNA fragmentation into oligosomes elicited by 6-OHDA in SH-SY5Y cells. The pre-treatment of  
 277 SH-SY5Y cells for 24 h with either SFN or ERN 5  $\mu\text{M}$  led to a significant decrease of Annexin V  
 278 labeled cells induced by 2 h treatment with 6-OHDA and subsequent 16 h treatment without 6-  
 279 OHDA (Figure 4d). The antiapoptotic effects of SFN were significantly higher than those of ERN,  
 280 with a maximum inhibition of 95% and 60% respectively. These effects were confirmed by the  
 281 higher ability of SFN to also reduce the DNA fragmentation into oligosomes induced by 6-OHDA  
 282 than ERN at 5  $\mu\text{M}$  (Figure 4e). SFN and ERN alone did not change neuronal apoptosis basal levels  
 283 (data not shown).

284 Taken together, these *in vitro* results show that the higher ability of SFN to prevent the neuronal  
 285 death induced by 6-OHDA in SH-SY5Y cells compared to ERN could be ascribed to their different  
 286 ability to activate upstream early adaptive mechanisms of GSH synthesis. In this regard, for the first  
 287 time we demonstrated the greater ability of SFN to promote the nuclear Nrf2 activation as well as  
 288 the increase of GSH than ERN in neuronal SH-SY5Y cells. Interestingly, these findings also  
 289 suggest the determining contribution of GSH in maintaining redox balance and neuronal survival.

290 Recent studies demonstrate that Nrf2 knockdown by siRNA in SH-SY5Y cells abolishes the  
 291 intracellular GSH augmentation and the cellular protection elicited by SFN and other  
 292 neuroprotective molecules<sup>23–25</sup>.

293 The different ability of SFN and ERN to active a neuroprotective adaptive response through nuclear  
 294 Nrf2 activation is consistent with the evidence that the SFN containing oxidized sulphur is likely to  
 295 be a more potent electrophilic ITC and phase II inducer than analogues containing non-oxidized  
 296 sulphur, such as ERN<sup>26,27</sup>. Recent studies show that the electrophilic interaction of SFN with several

297 cysteine residues in Keap1, particularly C151, inhibits the Keap1-dependent degradation of Nrf2  
298 and increases the nuclear localization of Nrf2 as well as Nrf2 ARE-binding activity<sup>28,29</sup>. The higher  
299 electrophilicity of SFN than ERN also supports their different ability to induce a transient depletion  
300 of GSH through the intracellular conjugation of SFN and ERN with cellular nucleophiles including  
301 GSH, leading to increased levels of ROS. Other studies recorded similar transient pro-oxidant  
302 effects of SFN and ERN in non-neuronal cells, also suggesting a direct enzymatic redox regulation  
303 of GSH synthesis. In particular, the ROS formation with the depletion of GSH can lead to a  
304 conformational change in the catalytic subunit of gamma-glutamylcysteine synthetase, increasing  
305 its affinity for the substrates gamma-glutamic acid, cysteine, and adenosine triphosphate and  
306 thereby stimulating GSH synthesis<sup>30</sup>.

307 At present, we do not know the impact of the intracellular metabolism of SFN and ERN on their  
308 different abilities to activate neuronal adaptive mechanisms. In this regard, a recent study recorded  
309 the potential biotransformation of the same dose of SFN to corresponding ERN metabolites at  
310 intracellular levels after 3 h of treatment<sup>16</sup>. However, in view of the early nuclear Nrf2 activation  
311 elicited by both ITCs at 1 h as well as the lower ERN activity recorded we can presume that the  
312 intracellular reduction of SFN does not contribute its highest activity.

313 In contrast to the experimental approach of pre-treatment, the simultaneous treatment of SH-SY5Y  
314 with either SFN or ERN (5  $\mu$ M) and 6-OHDA (100  $\mu$ M) recorded similar neuroprotective effects  
315 with both the ITCs (Figure 5). Interestingly, both SFN and ERN significantly strengthened the  
316 increase of active nuclear Nrf2 protein and total GSH levels elicited by 6-OHDA in SH-SY5Y cells  
317 at 2 h and 16 h, respectively, after co-treatment with 6-OHDA (Figure 5a and 5c). The Nrf2-ARE  
318 binding activation was also matched by a similar profile of compensatory response of mRNA Nrf2  
319 gene expression as shown in Figure 5b. In similar co-treatment conditions, both the ITCs also  
320 showed the ability to counteract the Annexin V labeled cells and the DNA fragmentation into  
321 oligosomes at 16 h (Figure 6a and 6b).

322 This experimental approach highlights an adaptive stress response of the antioxidant network,  
323 specifically the GSH system, to oxidative damage of 6-OHDA. When the oxidative damage is too  
324 drastic, these adaptive systems fail, leading to neuronal death<sup>30</sup>. In this context, the neuroprotective  
325 effects of both ITCs could be ascribed to their ability to strengthen the GSH adaptive response at  
326 transcriptional level, ensuring neuronal survival. Interestingly, co-treatment with ERN and 6-  
327 OHDA recorded a neuroprotective effect similar to SFN, suggesting an *in vitro* inter-conversion of  
328 ERN in SFN favored by the oxidative reaction of 6-OHDA.

#### 329 *In vivo neuroprotective effects of SFN and ERN*

330 Four weeks after the lesion, we compared the neuroprotective effects of SFN and ERN against the  
331 oxidative damage induced by 6-OHDA *in vivo*. In particular, we evaluated the toxicity in  
332 dopaminergic neurons of mice after intrastriatal injection of 6-OHDA and ip administration of  
333 either 30 µmol/kg SFN or ERN. Firstly, we performed a behavioral quantification of dopamine  
334 depletion, by apomorphine-induced rotations 4 weeks after the induced lesion. As reported in  
335 Figure 7, the intrastriatal injection of 6-OHDA significantly increased the number of apomorphine-  
336 induced rotations in lesioned mice compared with sham mice ( $p<0.001$ , Sham/VH vs 6-  
337 OHDA/VH). The protection of functional nigral neurons contributed to the reduction of behavioral  
338 impairments induced by 6-OHDA. Our results demonstrate that SFN and ERN induced a partial  
339 recovery in the rotational behavior test; in fact we still found a difference between the treatment  
340 groups and the sham operated mice. However, statistical analysis of the total net number of  
341 rotations showed that both SFN and ERN treatments counteracted the asymmetric motor behavior  
342 compared to the 6-OHDA/VH group (Fig. 5,  $p<0.05$ ). These results were confirmed, as reported in  
343 Figure 8a, by the TH levels, a marker for dopaminergic neuronal function, which were significantly  
344 decreased in the SN of 6-OHDA-lesioned mice (85% vs sham/VH), and both SFN and ERN  
345 treatments strongly upregulated the expression of TH (78% and 74% respectively compared to the  
346 6-OHDA/VH group, Figure 8a). To confirm this result, we also performed an immunohistochemical

analysis on brain coronal slices containing SN structure (Figure 8b-c), and our results showed a consistent loss in dopaminergic neuronal function (93% vs sham/VH), efficiently counteracted by our treatments. Similarly, both SFN and ERN treatments protected neuronal tissue from apoptosis by significantly reducing DNA fragmentation in SN samples induced by intrastriatal injection of 6-OHDA (respectively 54% and 48% compared to the 6-OHDA/VH, Figure 8d). With regard to the neuroprotective effects of the ITCs, we did not find any significant differences between them (Figure 8).

As expected, when we measured GSH content it was significantly decreased (Figure 9a) in the 6-OHDA/VH group as compared to the Sham/VH group. More interestingly, SFN and ERN consistently protected against the 6-OHDA-associated oxidative stress by maintaining GSH close to baseline values (Figure 9a). Remarkably, we did not record any differences between SFN and ERN in their ability to restore the GSH levels.

Previous studies in mice have shown the ability of SFN to increase the Nrf2 protein levels in various brain regions, including basal ganglia, leading to upregulation of phase II antioxidant enzymes in different stress conditions<sup>31-34</sup>. Among the upregulation of antioxidant molecules and enzymes by Nrf2, we demonstrated the contribution of the increased GSH levels in striatum to the neuroprotective effects of SFN found in 6-OHDA-PD mouse model<sup>20</sup>.

To confirm that the neuroprotective effects of SFN and ERN recorded against 6-OHDA are the consequence of the same upstream redox adaptive mechanisms, we also performed an immunostaining for Nrf2-positive cells on brain coronal slices containing SN structure (Figure 9b-c). As we expected, four weeks after the lesion was induced it was possible to observe a consistent loss in Nrf2 activity (45% vs Sham/VH group), efficiently restored by the treatment with SFN or ERN, but we did not find any difference between these ITCs in the inductive response.

Interestingly, in the oxidative stress conditions the restoration of Nrf2 induced by both ITCs significantly exceeded basal levels of the Sham/VH group, also suggesting the activation of long

term adaptive effects. It is plausible that in addition to direct short-time term adaptive effects on the GSH system elicited by 6-OHDA oxidative stress, the ITC treatment for four weeks strengthened the long term adaptive effects in SN at transcriptional, epigenetic and genomic level<sup>30</sup>.

Taken together, these results demonstrate for the first time that in the presence of ongoing oxidative damage processes ERN can exert similar *in vivo* neuroprotective effects to SFN. These finding are also supported by our *in vitro* results that recorded higher neuroprotective effects of SFN than ERN when we treated the neuronal cells with both ITCs before, but not during, the oxidative stress induced by 6-OHDA. Therefore, we can presume that the oxidation state of the sulphur in the side chain of ERN affects its ability to activate early prodromal adaptive mechanisms of neuroprotective effects.

Systemic metabolism may also play an important part in determining the neuroprotective activity of ERN *in vivo*. Several studies of bioavailability and biotransformation show that the sulphur of ERN is extensively oxidized in mice, rats and humans, forming SFN, while SFN is partly reduced to ERN<sup>35-37</sup>. In particular, the systemic metabolic inter-conversion of these ITCs would also account for their similar inductive antioxidant action *in vivo* (phase II enzyme activities)<sup>26,38-40</sup>. However, this interpretation is speculative considering the limitation of our study, such as the lack of determination of SFN and ERN levels in mouse biological fluids. Although various studies recorded the ITC inter-conversion in similar mouse animal species, the contribution of this metabolic inter-conversion from ERN to SFN at brain level remains to be clarified<sup>16,35,40</sup>. A recent study in mice in the absence of oxidative stress conditions reported the ability of glucoerucin, a glucosinolate precursor of ERN, to induce the expression of the HO-1 gene upregulated by Nrf2 in the intestinal mucosae and liver but not in the brain, reinforcing the hypothesis that the oxidative stress could play a more important role than the neuronal metabolism for the oxidation of ERN to SFN at brain level<sup>18</sup>.



396 Regarding the neuroprotective mechanisms of SFN and ERN, the *in vitro* experimental approach  
 397 also allowed us to define the neuroprotective time window of dietary ITCs on PD initiation and  
 398 progression. The higher neuroprotective effects of SFN than ERN recorded with a long treatment of  
 399 neurons before the oxidative damage suggest that a chronic exposure to dietary SFN could reduce  
 400 the risk of developing PD in healthy subjects. Instead, the similar neuroprotective effects obtained  
 401 after a short combined treatment with SFN or ERN and 6-OHDA indicate the potential ability of  
 402 both dietary SFN and ERN to slow the neuronal transient oxidant events due to the DA in subjects  
 403 with ongoing PD. In this regard, the effective relationship between the 5  $\mu$ M concentration of SFN  
 404 and ERN used in our *in vitro* experiments and that occurring in humans is a concern. By contrast  
 405 with other individual phytochemicals, the effective concentrations of ITCs *in vitro* are more likely  
 406 to occur *in vivo*<sup>10</sup>. Our *in vitro* concentration level is routinely used in neuroprotection studies with  
 407 SFN and very similar to the 2.2 and 7.4  $\mu$ M concentrations of SFN in the human plasma after  
 408 consumption of standard broccoli and high-glucosinolate broccoli, respectively<sup>41</sup>. In this regard, a  
 409 more recent study in mouse model also detected comparable concentrations of total ITC metabolites  
 410 in plasma (1-2  $\mu$ M) as well as the conversion of ERN to SFN metabolites after isothiocyanate ERN  
 411 oral gavage<sup>40</sup>. Overall, our findings and considerations support the development of vegetable  
 412 products containing precursors of SFN and/or ERN for nutritional interventions aimed at preventing  
 413 or delaying the progression of PD.

414

#### 415 **Abbreviations used**

|     |        |  |
|-----|--------|--|
| 416 | 6-OHDA | 6-hydroxydopamine                          |
| 417 | ARE    | Antioxidant Responsive Element             |
| 418 | AUF    | Arbitrary Fluorescence Units               |
| 419 | ERN    | 4-methyl-thiobutyl isothiocyanate (Erucin) |
| 420 | DA     | Dopamine                                   |
| 421 | GSH    | Glutathione                                |

|     |                               |  |
|-----|-------------------------------|--|
| 422 | HO-1                          | Heme Oxygenase 1                                     |
| 423 | H <sub>2</sub> DCF-DA         | 7'-dichlorodihydrofluorescein diacetate              |
| 424 | H <sub>2</sub> O <sub>2</sub> | Hydrogen Peroxide                                    |
| 425 | Keap-1                        | Kelch like-ECH-associated protein 1                  |
| 426 | ip                            | Intraperitoneal                                      |
| 427 | ITCs                          | Isothiocyanates                                      |
| 428 | Nrf2                          | Nuclear Factor (Erythroid-derived 2)-like 2          |
| 429 | PD                            | Parkinson's Disease                                  |
| 430 | ROS                           | Reactive Oxygen Species                              |
| 431 | SFN                           | 4-methyl-sulfinylbutyl isothiocyanate (Sulforaphane) |
| 432 | SN                            | Substantia Nigra                                     |
| 433 | TH                            | Tyrosine Hydroxylase                                 |
| 434 | TBS                           | Tris-Buffered Saline                                 |
| 435 | VH                            | Vehicle  |

436

## 437 **Acknowledgments**

438 This work was supported by PRIN 2015 (project: 20152HKF3Z; project: 2015SKN9YT003). We  
 439 thank Ms Susan West for the linguistic revision of the manuscript.

440

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581

582

583 **Figure captions**

584 **Figure 1.** Chemical structure of (a) SFN and (b) ERN.

585 **Figure 2.** Effects of SFN and ERN on neuronal basal levels of active nuclear Nrf2 protein and  
586 mRNA Nrf2 in SH-SY5Y cells. (a) SH-SY5Y cells were treated for different lengths of time with 5  
587  $\mu$ M of either SFN or ERN in the absence of treatment with 6-OHDA. At the end of the treatment,  
588 active nuclear Nrf2 protein levels were measured as described in the Materials and Methods section.  
589 The nuclear Nrf2 protein values are expressed as fold increase with respect to untreated cells.  
590 Values are shown as mean  $\pm$  SEM (n=4-6). \*  $p < 0.05$ . \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$  vs. untreated cells;  
591  $^{\S}p < 0.05$  and  $^{\S\S}p < 0.01$  vs. cells treated with ERN; at ANOVA with Bonferroni post hoc test. (b)  
592 At the end of the 1 h treatment, Nrf2 mRNA relative expression was evaluated as described in the  
593 Materials and Methods section. The values were calculated through the  $2^{-\Delta\Delta Ct}$  method and expressed  
594 as fold increase with respect to untreated cells. Values are presented as mean  $\pm$  SEM (n=4-6).  $^{\S}p <$   
595 0.05 vs. cells treated with ERN; at ANOVA with Bonferroni post hoc test.

596 **Figure 3.** Effects of SFN and ERN on neuronal basal levels of total GSH and ROS in SH-  
597 SY5Y cells. (a-b) SH-SY5Y cells were treated for different lengths of time with 5  $\mu$ M of either  
598 SFN or ERN in the absence of treatment with 6-OHDA. At the end of the treatment, total GSH  
599 and ROS levels were measured as described in the Materials and Methods section. Total GSH  
600 values are expressed as concentrations of total GSH ( $\mu$ M) obtained by a GSH standard curve.  
601 The ROS values are expressed as arbitrary fluorescence units (AUF). Values are shown as  
602 mean  $\pm$  SEM (n=4-6). \*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$  vs. untreated cells;  $^{\S}p < 0.05$ , vs.  
603 cells treated with ERN; at ANOVA with Bonferroni post hoc test.



**Figure 4.** SFN and ERN improve neuronal redox status and prevent neuronal apoptosis induced by 6-OHDA in SH-SY5Y cells. **(a-b)** SH-SY5Y cells were treated for 24 h with 5  $\mu$ M of either SFN or ERN in the absence of treatment with 6-OHDA. At the end of treatment, total GSH and ROS levels were measured as described in the Materials and Methods section. Total GSH values are expressed as concentrations of total GSH ( $\mu$ M) obtained by a GSH standard curve. ROS values are expressed as arbitrary fluorescence units (AUF). Values are shown as mean  $\pm$  SEM (n=4-6). \*  $p < 0.05$  and \*\*  $p < 0.01$  vs. untreated cells; §  $p < 0.05$  vs. cells treated with ERN; at ANOVA with Bonferroni post hoc test. **(c)** Correlation between total GSH and ROS levels recorded after 24 h of treatment with 5  $\mu$ M of either SFN or ERN. **(d-e)** SH-SY5Y cells were treated for 24 h with 5  $\mu$ M of either SFN or ERN and then with 6-OHDA (100  $\mu$ M) for 2 h. Next, the treatment was replaced with a medium without 6-OHDA and ITCs, and after a further 16 h incubation we determined the neuronal apoptosis, in terms of membrane phosphatidylserine exposure (Annexin V binding) and DNA fragmentation into oligosomes, as described in the Materials and Methods section. Values are expressed as percentage of Annexin V labeled neurons and fold increase of DNA fragmentation with respect to untreated cells. Values are shown as mean  $\pm$  SEM (n=4-6). \*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$  vs. cells treated with 6-OHDA; §  $p < 0.05$  vs. cells treated with 6-OHDA/ERN; §§§  $p < 0.001$  vs. untreated cells; at ANOVA with Bonferroni post hoc test.

**Figure 5.** SFN and ERN strengthen Nrf2 and total GSH adaptive stress response elicited by 6-OHDA. **(a-b)** SH-SY5Y cells were treated with 6-OHDA (100  $\mu$ M) and either SFN or ERN (5  $\mu$ M) for 2 h. Next, the treatment was replaced with a medium without 6-OHDA and ITCs, and after a further 2 h incubation we determined the active nuclear Nrf2 protein levels and Nrf2 mRNA relative expression as described in the Materials and Methods section. Values are expressed as fold increase with respect to untreated cells. Values are shown as mean  $\pm$  SEM (n=4). \*  $p < 0.05$  and \*\*  $p < 0.01$  vs. untreated cells; §  $p < 0.05$  and §§  $p < 0.01$  vs. cells treated with

629 6-OHDA; at ANOVA with Bonferroni post hoc test. (c) SH-SY5Y cells were treated with 6-  
630 OHDA (100  $\mu$ M) and either SFN or ERN (5  $\mu$ M) for 2 h. Next, the treatment was replaced with  
631 a medium without 6-OHDA and ITCs, and after a further 16 h incubation we determined the  
632 total GSH levels as described in the Materials and Methods section. Values are expressed as  
633 fold increase with respect to untreated cells. Values are shown as mean  $\pm$  SEM (n=4). \*  $p < 0.05$   
634 and \*\*\*  $p < 0.001$  vs. untreated cells; §§  $p < 0.01$  vs. cells treated with 6-OHDA; at ANOVA with  
635 Bonferroni post hoc test.

636 **Figure 6.** SFN and ERN counteract neuronal apoptosis induced by 6-OHDA in SH-SY5Y  
637 cells. (a-b) SH-SY5Y cells were treated with 6-OHDA (100  $\mu$ M) and either SFN or ERN (5  
638  $\mu$ M) for 2 h. Next, the treatment was replaced with a medium without 6-OHDA and ITCs, and  
639 after a further 16 h incubation we determined the neuronal apoptosis, in terms of membrane  
640 phosphatidylserine exposure (Annexin V binding) and DNA fragmentation into oligosomes, as  
641 described in the Materials and Methods section. Values are expressed as a percentage of  
642 Annexin V labeled neurons and fold increase of DNA fragmentation with respect untreated  
643 cells. Values are shown as mean  $\pm$  SEM (n=4). §§  $p < 0.01$  and §§§  $p < 0.001$  vs. cells treated with  
644 6-OHDA; at ANOVA with Bonferroni post hoc test.

645 **Figure 7.** SFN and ERN ameliorate the performance on apomorphine-induced rotational  
646 behavior in 6-OHDA-lesioned mice. C57Bl/6 mice were treated ip (30  $\mu$ mol/kg) with either  
647 SFN or ERN twice a week for four weeks after intrastriatal injection of 6-OHDA. The number  
648 of net ipsi and contralateral rotations was counted for 10 min. Values are expressed as mean  $\pm$   
649 SEM (n=10) of contralateral turns collected during 10 min. \*\*\*  $p < 0.001$  vs. Sham/VH, §  $p < 0.05$   
650 vs. 6-OHDA/VH; at ANOVA with Bonferroni post hoc test.

651 **Figure 8.** SFN and ERN counteract neuronal death and DNA fragmentation in 6-OHDA lesioned  
652 mice. (a-c) After 4 weeks of treatment with ITCs (30  $\mu$ mol/kg), TH protein levels were detected by

653 (a) Western Blotting and (b-c) immunohistochemistry in brain coronal sections containing SN. (a)  
 654 Top: representative images of protein expression; Bottom: quantitative analysis of the Western  
 655 Blotting results for the TH protein levels in SN samples. Values were normalized to  $\beta$ -actin and  
 656 expressed as mean of fold increase  $\pm$  SEM (n=10) of each group compared to the intact control site.  
 657 (b) Representative photomicrographs of immunostaining on lesioned side, scale bar 100  $\mu$ m; (c)  
 658 histogram representing dopaminergic cell survival in the SN. Values are expressed as mean  $\pm$  SEM  
 659 (n=10) of the percentage of surviving TH-positive cells of the lesioned hemisphere compared to the  
 660 intact hemisphere. \*\*\*  $p < 0.001$  vs. Sham/VH; §§§  $p < 0.01$  vs. 6-OHDA/VH; at ANOVA Bonferroni  
 661 post hoc test. (d) DNA fragmentation was determined in SN samples as described in the Materials  
 662 and Methods section. Values are expressed as mean of fold increase  $\pm$  SEM (n=10). \*  $p < 0.05$  vs.  
 663 Sham/VH; §§  $p < 0.01$  and §§§  $p < 0.001$  vs. 6-OHDA/VH; at ANOVA with Bonferroni post hoc test.

664 **Figure 9.** SFN and ERN increase total GSH and Nrf2 levels in 6-OHDA lesioned mice. After 4  
 665 weeks of treatment with ITCs (30  $\mu$ mol/kg), (a) total GSH and (b-c) Nrf2 levels were measured in  
 666 SN samples as described in the Materials and Methods section. (a) Total GSH values are expressed  
 667 as the mean of total GSH (mmol)/mg protein obtained by a GSH standard curve. Values are shown  
 668 as mean  $\pm$  SEM (n=10). (b) Representative photomicrographs of immunostaining for Nrf2 in brain  
 669 coronal sections containing the SN, scale bar 100  $\mu$ m; (c) Quantitative analysis of the number of  
 670 positive cells to Nrf2 activation. Values are expressed as mean  $\pm$  SEM (n=10) of positive cells in  
 671 each experimental group. \*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$  vs. Sham/VH; §  $p < 0.05$ , §§  $p < 0.01$   
 672 and §§§  $p < 0.001$  vs. 6-OHDA/VH; at ANOVA with Bonferroni post hoc test.

673

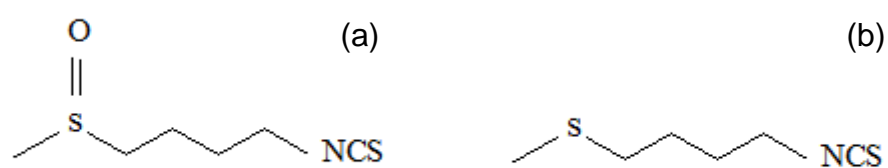


Figure 1

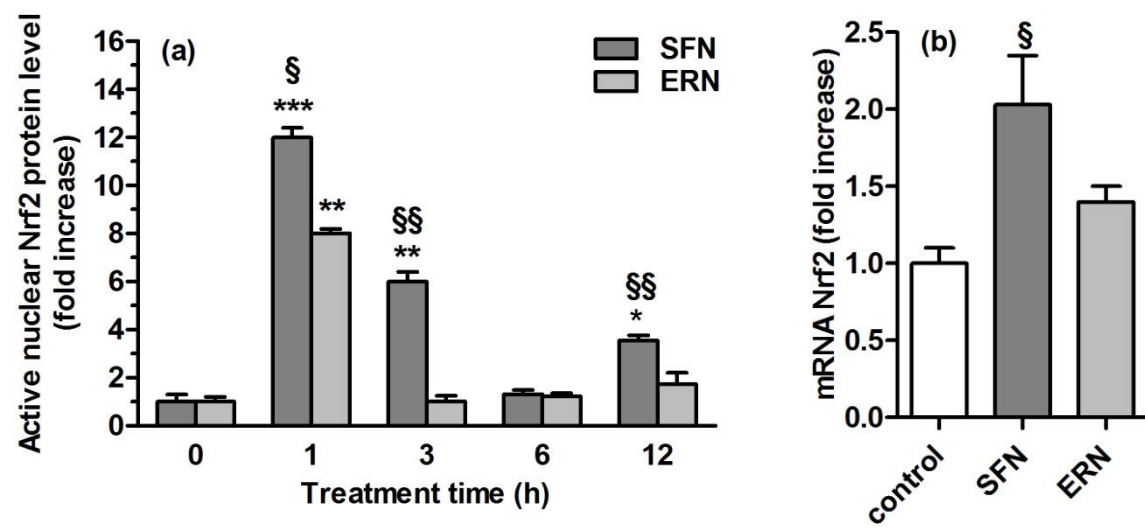


Figure 2

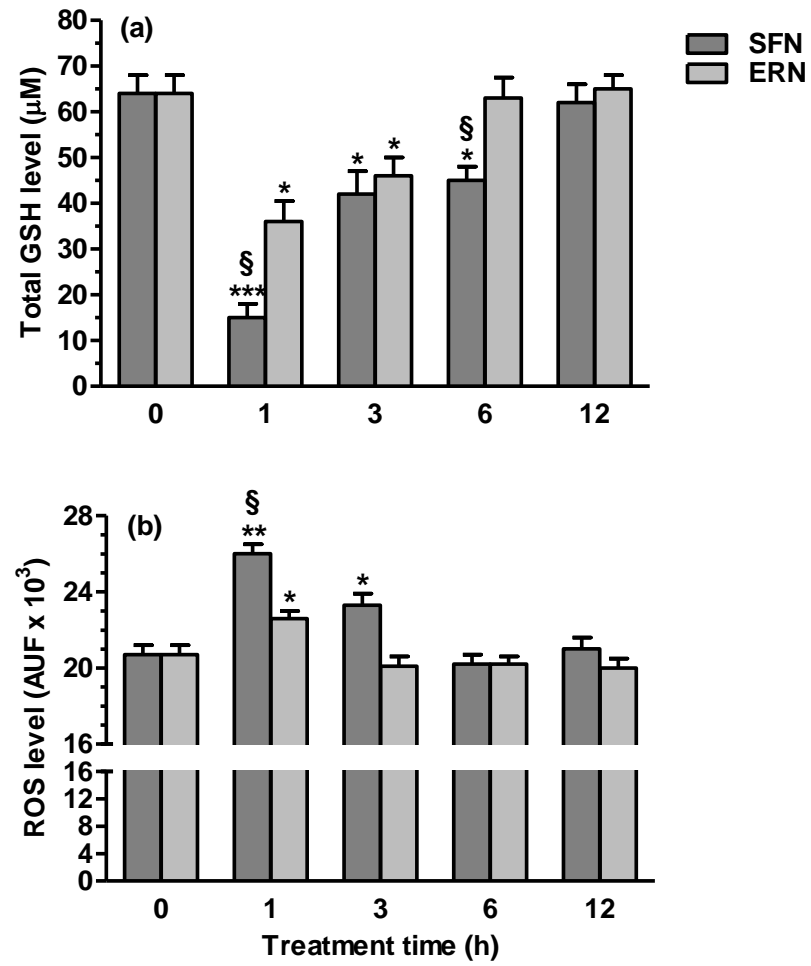


Figure 3

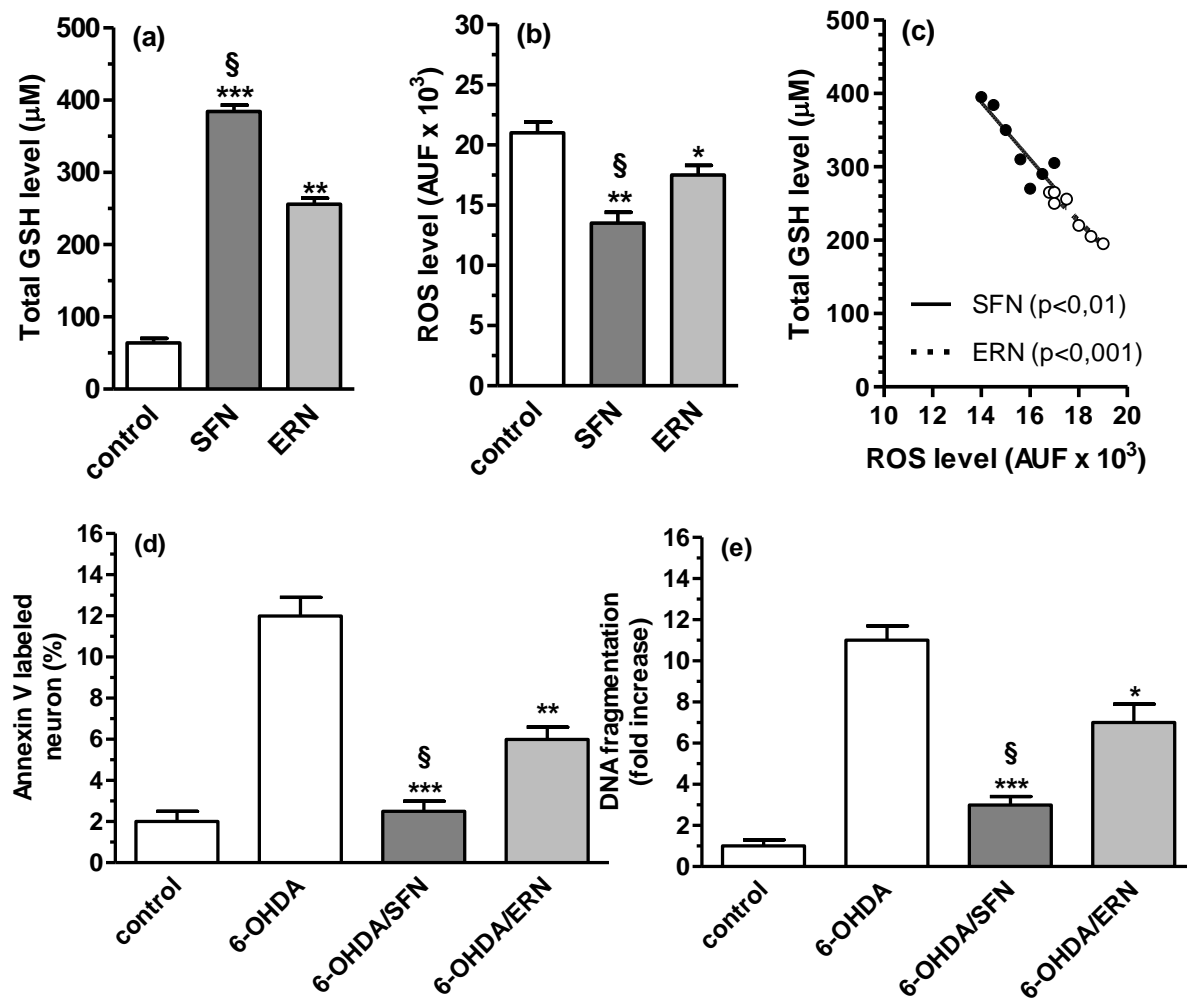


Figure 4

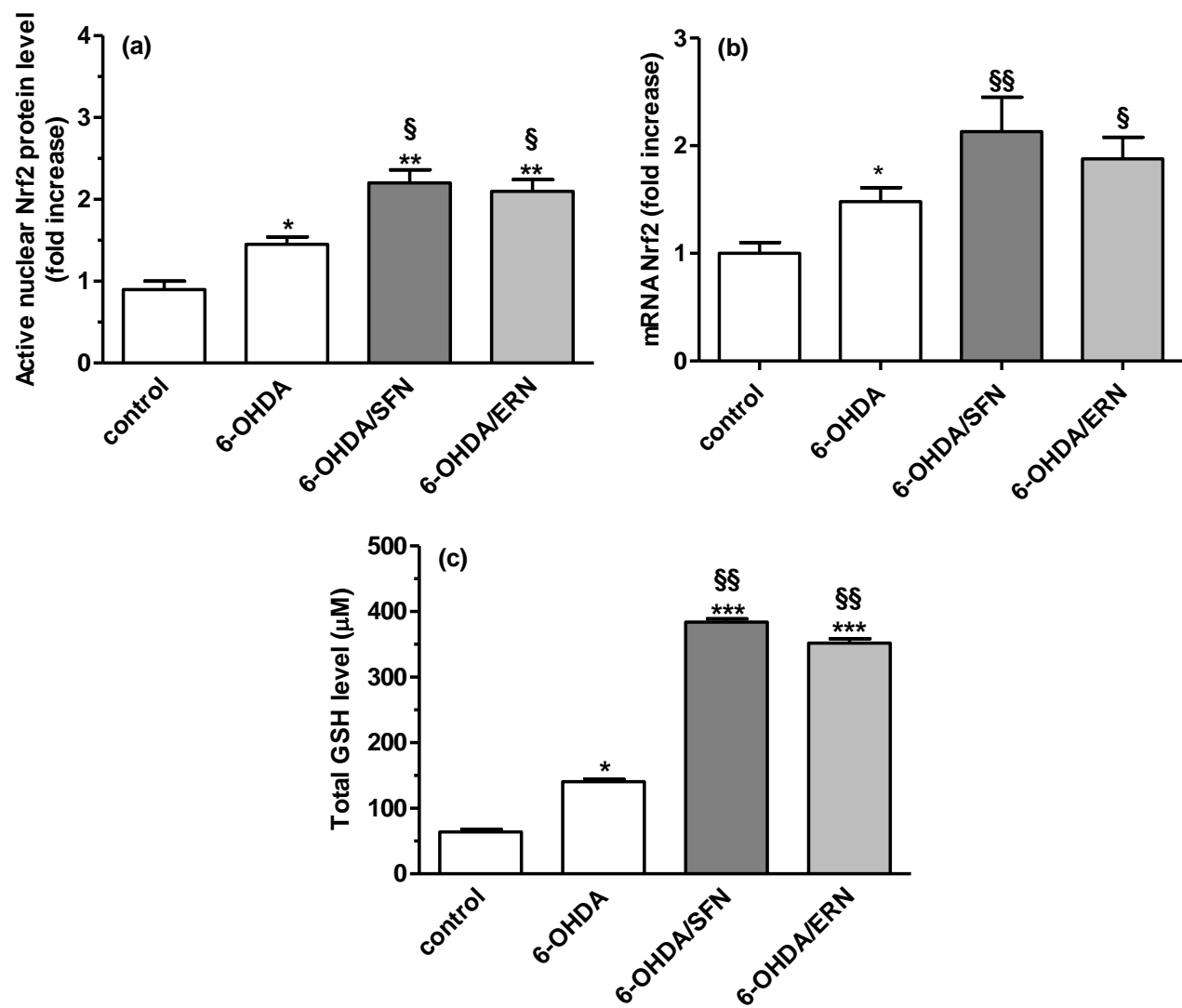


Figure 5



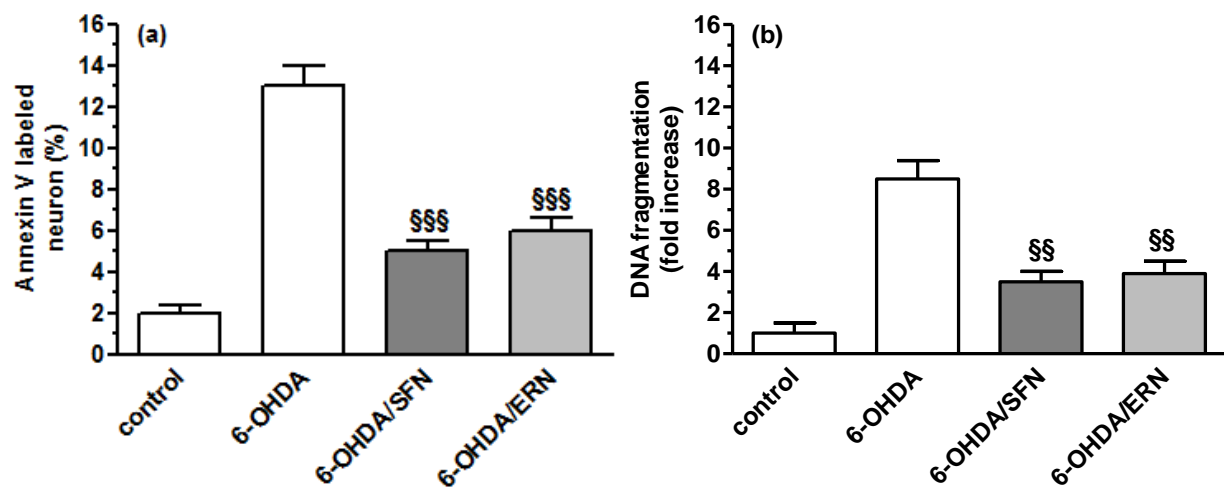


Figure 6

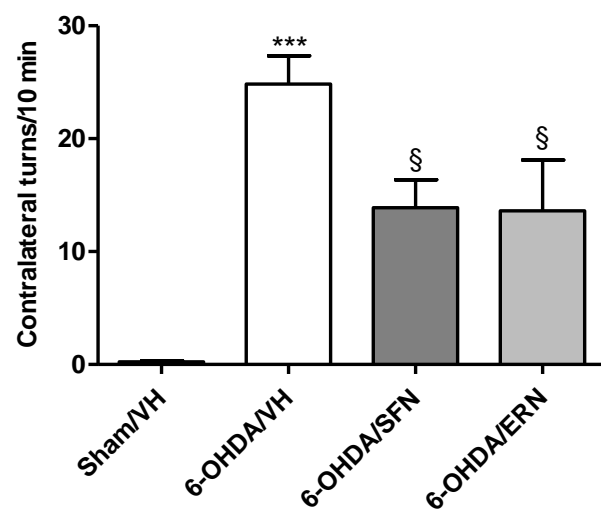


Figure 7

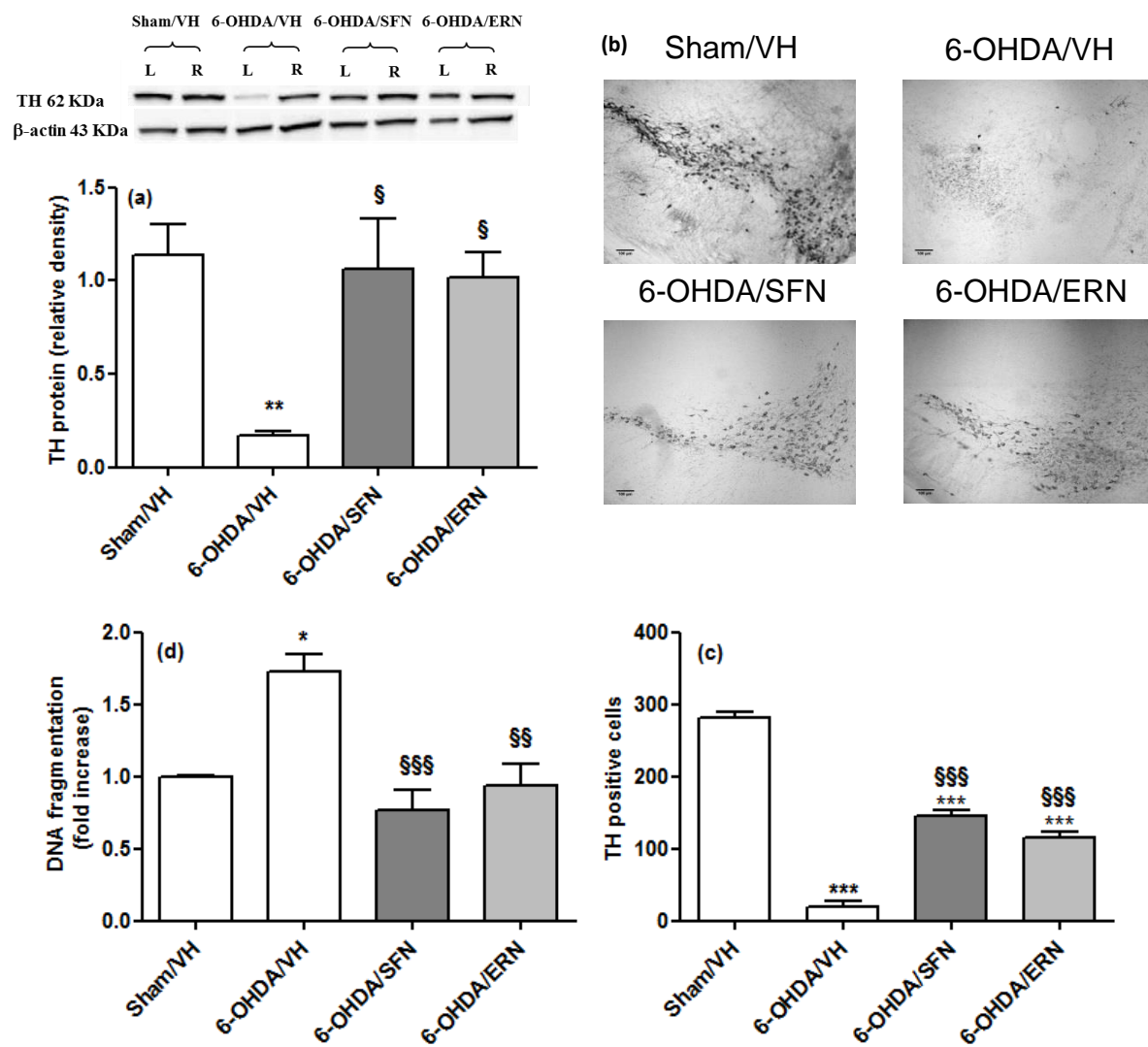


Figure 8

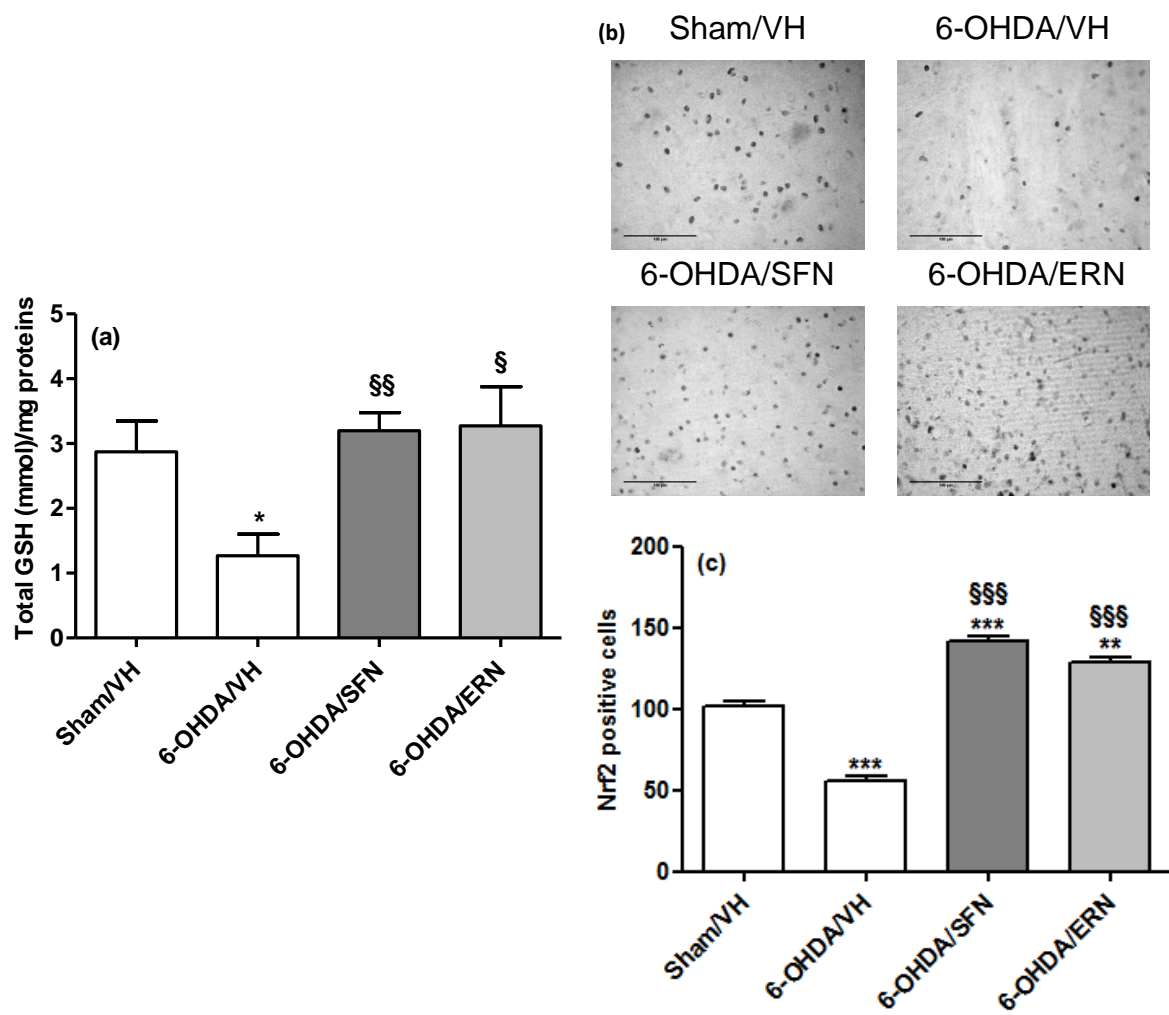
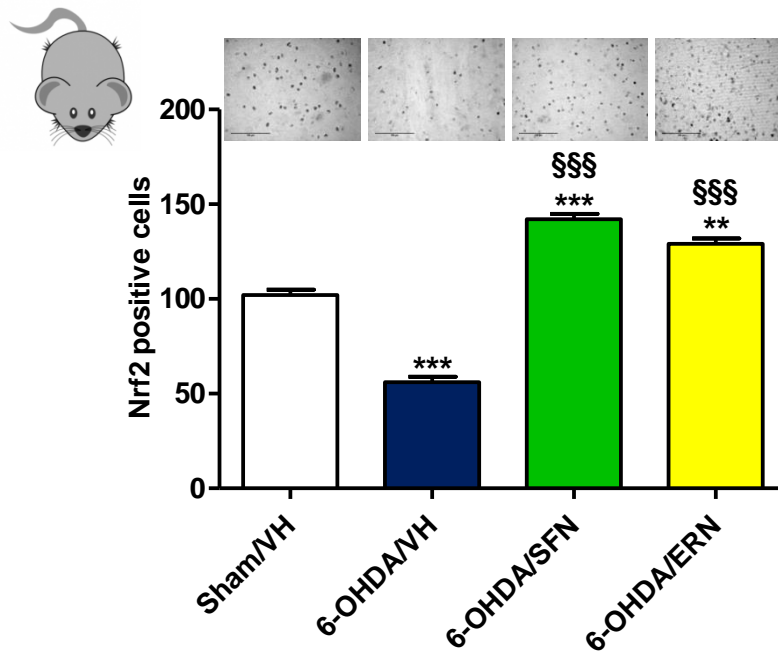
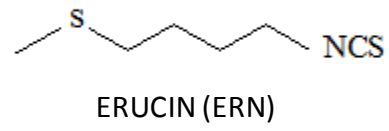
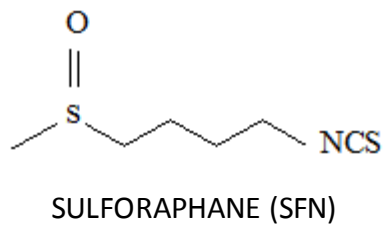


Figure 9



674  
675

TOC GRAPHIC